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Paper No. 6

MARGER JOHNSON & MCCOLLOM PC  
1030 SW MORRISON STREET  
PORTLAND, OR 97205

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JUN 05 2000

SPECIAL PROGRAMS OFFICE  
DAC FOR PATENTS



9/09-13

In re Application of  
James D. Parsons and B. Leo Kwak  
Application No. 09/351,106  
Filed: July 6, 1999  
For: ADHESION AND/OR  
ENCAPSULATION OF SILICON CARBIDE-  
BASED SEMICONDUCTOR DEVICES ON  
CERAMIC SUBSTRATES

: DECISION ACCORDING STATUS  
: UNDER 37 CFR 1.47(b)  
:  
:  
:

This is in response to the "Petition Under 37 CFR 1.47(b)," filed July 6, 1999 (and followed up by copies on September 30, 1999 and October 4, 1999).

The petition is granted.

Petitioner has shown that the non-signing inventor James D. Parsons has refused to join in the filing of the above-identified application after having been presented with the application and that non-signing co-inventor B. Leo Kwak cannot be located nor will the inventors respond to E-mails sent to them. Specifically, the declaration/statement of facts of Alexander C. Johnson, Jr. and Donald E. Hagge establishes that the inventors were mailed the application papers, including the specification, claims and drawings, but that inventor Parsons failed to respond to the request that he sign the declaration and the package containing the specification, claims and drawings sent to co-inventor Kwak was returned as undeliverable. In addition, petitioner has shown that 3C Semiconductor Corporation has a proprietary interest in the above-identified application with the copy of the employment agreement showing that the inventors had an obligation to assign any patent rights to 3C Semiconductor Corporation. Lastly, petitioner has submitted a declaration in compliance with 37 CFR 1.63 and 1.64 and demonstrated that petitioner has shown that such action is necessary to prevent irreparable damage.

It is noted that, while 3C Semiconductor Corporation has established a sufficient proprietary interest in the subject matter of the instant application, no assignment agreement from the inventor to 3C Semiconductor Corporation, has been submitted. Therefore, 3C Semiconductor Corporation is considered the Rule 47 applicant but not the assignee of the instant application.

This application and papers have been reviewed and found in compliance with 37 CFR 1.47(b). This application is hereby accorded Rule 1.47(b) status.

As provided in Rule 1.47(b), this Office will forward notice of this application's filing to the non-signing inventors at their last known addresses. Notice of the filing of this application will also be published in the Official Gazette.

Telephone inquiries regarding this communication should be directed to Frances Hicks at (703) 305-8680.

After this decision is mailed, the above-identified application will be forwarded to Technology Center AU 2812 for examination in the normal course of business.



Brian Hearn  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JAMES D. PARSONS  
15900 S.W. RAVEN COURT  
BEAVERTON, OREGON 97007

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In re Application of  
James D. Parsons and B. Leo Kwak  
Application No. 09/351,106  
Filed: July 6, 1999

SPECIAL PROGRAMS OFFICE  
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For: ADHESION AND/OR ENCAPSULATION OF SILICON CARBIDE-BASED  
SEMICONDUCTOR DEVICES ON CERAMIC SUBSTRATES



Dear Mr. Parsons:

You are named as the sole inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 118 (United States Code), and 37 CFR 1.47(b), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as the sole inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (703) 305-8680. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1(800)972-6382 (outside the Washington D.C. area).

*Brian Hearn*

Brian Hearn  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

cc:

Marger Johnson & McCollom PC  
1030 SW Morrison Street  
Portland, Oregon 97205



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

B. LEO KWAK  
1915 N.W. 173RD AVENUE, #1703  
BEAVERTON, OREGON 97077

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James D. Parsons and B. Leo Kwak  
Application No. 09/351,106  
Filed: July 6, 1999  
For: ADHESION AND/OR ENCAPSULATION OF SILICON CARBIDE-BASED  
SEMICONDUCTOR DEVICES ON CERAMIC SUBSTRATES




Dear Mr. Kwak:

You are named as the sole inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 118 (United States Code), and 37 CFR 1.47(b), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as the sole inventor.

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